

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S)

Liu, et al.

TITLE

FINE-GRAINED RARE EARTH ACTIVATED

ZINCE SULFIDE PHOSPHORS FOR ELECTROLUMINESCENT DISPLAYS

APPLICATION NO.

10/649,282

FILED

August 27, 2003

CONFIRMATION NO.

5488

EXAMINER

Camie S. Thompson

ART UNIT

1774

LAST OFFICE ACTION

March 31, 2006

ATTORNEY DOCKET NO.

SMBZ 2 01002

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

- 1. Attached is a copy of the Official Corrected Filing Receipt received from the Patent and Trademark Office in the above-identified application for which issuance of a corrected Filing Receipt is respectfully requested.
 - 2. There is one (1) error in that the following data is:

XX incorrect

(a) under Applicant(s)

the fourth inventor's name is incorrect and the Filing Receipt should read

as follows:

James Alexander Robert Stiles

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as First Class Mail service and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cathryn Terenek

Date: 11/14y 26 2006

- (b) Attached is a copy Applicant's Petition for Change in Name of Inventor dated June 14, 2005 and the Decision from the Office of Petitions mailed October 31, 2005. The Decision granted the name change, but incorrectly showed the fourth inventor's name to be James Alexander Stiles, not **James Alexander Robert Stiles** as requested in the Petition for Change in Name of Inventor.
- 3. The corrections are due to errors by the U.S. Patent and Trademark Office and it is believed no fees are due. However, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0308</u>.

Respectfully submitted,

FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP

Date

Joseph E. Waters, Reg. No. 50,427 1100 Superior Avenue, Seventh Floor

Cleveland, OH 44114-2518

216/861-5582

Attachments:

copy of corrected filing receipt

copy of Petition for Change in Name of Inventor

copy of Decision from Office of Petitions



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 DRAWINGS TOT CLMS IND CLMS ATTY.DOCKET NO ART UNIT FIL FEE REC'D APPL NO. (c) DATE 4 44 1396 SMBZ 2 01002 08/27/2003 1774 10/649.282

CONFIRMATION NO. 5488

CORRECTED FILING RECEIPT

OC000000017358973

27885 FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP 1100 SUPERIOR AVENUE, SEVENTH FLOOR

CLEVELAND, OH 44114

Date Mailed: 10/31/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guo Liu, Brampton, CANADA; Alexander Kosyachkov, Mississauga, CANADA;

Yue Xu, Burlington, GANADA;

James Alexander Stiles, Toronto, CANADA;

Power of Attorney:

Robert Vickers--19504 Edward Daniels Jr--19598 Christopher Fagan--22987 Richard Minnich--24175 James McKee--26482

Thomas Kocovsky Jr--28383 Thomas Young--28924 Patrick Roche--29580 Jay Moldovanyi--29678 Philip Mov Jr--31280

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/406,661 08/29/2002

Foreign Applications

If Required, Foreign Filing License Granted: 04/13/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/649,282**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Fine-grained rare earth activated zinc sulfide phosphors for electroluminescent displays

Preliminary Class

428

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S)

: Guo Liu, et al.

TITLE

FINE-GRAINED RARE EARTH ACTIVATED

ZINC SULFIDE PHOSPHORS FOR ELECTROLUINESCENT DISPLAYS

APPLICATION NO.

: 10/649,282

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5488

EXAMINER

Unknown

ART UNIT

1774

ATTORNEY DOCKET NO.

SMBZ 2 01002

PETITION FOR CHANGE IN NAME OF INVENTOR

MAIL STOP PETITION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The name of the inventor, Jim Stiles, is incorrect in the application. The full given name of this inventor is James Alexander Robert Stiles and it is hereby petitioned in accordance with 37 CFR §1.182 and MPEP §605.04(b) that the change be made on the records of the U.S. Patent and Trademark Office for this application.

An Affidavit in Support of Petition to Change Name of Inventor which is signed by both names of the inventor and which sets forth the reason for the change of name is attached.

The fee by 37 C.F.R. §1.17(i) is to be paid as follows:

[X] Payment for the fee (\$130.00) of this Petition is authorized to be charged to a credit card. The appropriate form PTO-2038 is enclosed for this purpose. If the credit card is unable to be charged, please charge any and all fees which may be required, or credit any overpayment to Deposit Account No. 06-0308.

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as First Class Mail service and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cathryn Terchek

Date: 14 100 5

U.S. Serial No. 10/649,282 Attorney Docket: SMBZ 2 01002

Should the Petitions Attorney have any questions or wish to discuss this Petition, a telephone call to the undersigned attorney would be welcomed.

Respectfully submitted,

FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP

6-14-05

Date

Joseph E. Waters, Reg. No. 50,427

1100 Superior Avenue

7th Floor

Cleveland, Ohio 44114-2579

(216) 861-5582



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AFFIDAVIT IN SUPPORT OF PETITION TO CHANGE NAME OF INVENTOR

This affidavit is made in support of the accompanying Petition For Change In Name of Inventor.

This affidavit is signed by the inventor by his prior name and is also signed by the inventor by his present (new) name.

The procedure by which the name change was effected was:

The inventor signed his nickname of **Jim Stiles**, rather than his full name of **James Alexander Robert Stiles**

JIM STILES

Signature (Jim Stiles)

JAMES ALEXANDER ROBERT STILES

Signature (James Alexander Robert Stiles)

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

RECEIVED

NOV 0 2 2005

FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP

Fay, Sharpe, Fagan, Minnich & McKee, LLP 1100 Superior Avenue, Seventh Floor Cleveland, OH 44114

COPY MAILED

OCT 3 1 2005

OFFICE OF PETITIONS

In re Application of

Guo Liu, et al.

Application No. 10/649,282

Filed: August 27, 2003

Attorney Docket No. SMBZ 2 01002

ON PETITION

This is a decision on the petition under 37 CFR 1.182, filed June 16, 2005, to change the name of one of the inventors.

The petition is **GRANTED**.

The name of the fourth inventor has been changed from <u>Jim Stiles</u> to <u>James Alexander Stiles</u>. A corrected filing receipt reflecting the change accompanies this decision.

The application file is being referred to Technology Center 1700.

Telephone inquiries regarding this decision may be directed to the undersigned at (571) 272-3223.

Marianne E. Jenkins Marianne E. Jenkins

Petitions Examiner

Office of Petitions

Attachment: Corrected Filing Receipt